

Express Mail No. EL 752183780 US



#3

PATENT  
60709-00012

IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicants: Barton et al.

Group Art Unit: 2152

Serial Number: 09/848,051

Filed: May 3, 2001

For: METHODS AND SYSTEMS FOR  
COMPLIANCE PROGRAM  
ASSESSMENT

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

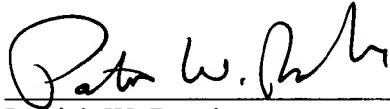
Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application dated June 28, 2001, enclosed is the fully executed Declaration and Power of Attorney of Nancy E. Barton, Edward S. Gilbert, Eugene F. Mensching, Jr., Christina E. Mitchell and Brackett B. Denniston, III; the fully executed Declaration and Power of Attorney of David E. Tucker; the fully executed Declaration and Power of Attorney of Robert C. Green; the fully executed Declaration and Power of Attorney of William Lacey; the fully executed Declaration and Power of Attorney of Ligia B. Vicente; the fully executed Declaration and Power of Attorney of John M. Whitcomb; the fully executed Declaration and Power of Attorney of John W. Carbone; the fully executed Declaration and Power of Attorney of Sheri F. West; the fully executed Declaration and Power of Attorney of Christopher Robine; and the fully executed Declaration and Power of Attorney of Frank Torres, III. Also enclosed is the Part 2 - Copy to be Returned with Response, along with a Petition for Extension of Time.

PATENT  
60709-00012

The Commissioner is hereby authorized to charge the surcharge of \$130 and a five-month extension fee of \$1,960.00 to Deposit Account Number 01-2384. A duplicate copy of this letter is enclosed.

Respectfully submitted,



---

Patrick W. Rasche

Reg. No. 37,916

ARMSTRONG TEASDALE LLP

One Metropolitan Square, Suite 2600

St. Louis, Missouri 63102-2740

(314) 621-5070



1-28-02 sector #3

PATENT  
60709-00012

**CERTIFICATE OF MAILING BY EXPRESS MAIL TO  
THE COMMISSIONER OF PATENTS AND TRADEMARKS**

Express Mail mailing label number: **EL 752183780 US**

Date of Mailing: **January 25, 2002**

I certify that the attached **RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION** of **BARTON et al.** for **METHODS AND SYSTEMS FOR COMPLIANCE PROGRAM ASSESSMENT**, Serial No. 09/848,051 filed May 3, 2001 including:

- Response To Notice To File Missing Parts Of Nonprovisional Application (2 pgs., in duplicate)
- Petition for Extension of Time (1 pg., in duplicate)
- Part 2 - Copy to be Returned with Response (1 pg.)
- Declaration and Power of Attorney of Nancy E. Barton, Edward S. Gilbert, Eugene F. Mensching, Jr., Christina E. Mitchell and Brackett B. Denniston, III (5 pgs.)
- Declaration and Power of Attorney of David E. Tucker (5 pgs.)
- Declaration and Power of Attorney of Robert C. Green (5 pgs.)
- Declaration and Power of Attorney of William Lacey (5 pgs.)
- Declaration and Power of Attorney of Ligia B. Vicente (5 pgs.)
- Declaration and Power of Attorney of John M. Whitcomb (5 pgs.)
- Declaration and Power of Attorney of John W. Carbone (5 pgs.)
- Declaration and Power of Attorney of Sheri F. West (5 pgs.)
- Declaration and Power of Attorney of Christopher Robine (5 pgs.)
- Declaration and Power of Attorney of Frank Torres, III (5 pgs.)
- Return post card

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above in an envelope addressed to Box Missing Parts, , Commissioner for Patents, Washington, D.C. 20231.

Patrick W. Rasche, Reg. No. 37,916  
Armstrong Teasdale LLP  
One Metropolitan Square, Suite 2600  
St. Louis, MO 63102  
314/621-5070



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/848,051	05/03/2001	Nancy E. Barton	60709-00012

CONFIRMATION NO. 5587

## FORMALITIES LETTER



\*OC000000006236229\*

John S. Beulick  
Armsrtong Teasdale LLP  
Suite 2600  
One Metropolitan Sq.  
St. Louis, MO 63102

Date Mailed: 06/28/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/31/2002 MWOLDER1 00000066 012384 09848051

01 FC:105 130.00 CH